## CONNECTICUT OFFICE OF EARLY CHILDHOOD

## Smart Start May 1, 2015 to June 30, 2017



<u>Legislative Authority</u> Public Act 14-41

> RFP 810

**Due Date December 19, 2014** 

## MYRA JONES-TAYLOR, PH.D. COMMISSIONER

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Equal Employment Opportunity Director/American with Disabilities Act Coordinator
State of Connecticut Department of Education
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Middletown, CT 06457
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The State of Connecticut Office of Early Childhood is an affirmative action/equal opportunity employer.

# Connecticut Office of Early Childhood SMART START APPLICATION

## **GRANT PERIOD**

June 30, 2015 to June 30, 2020

## **GRANT COVER PAGE**

School District Columbia
Contact Name Mary-Kate Farley
Address 3 School House Road Columbia, CT
Telephone Number 860 228 0585
Contact E-mail Address mkfarley@hwporter.org

I hereby certify that the int	formation contained in this	application is true	and accurate	to the best of my
knowledge and belief.	//			•
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Chairperson, Board	of Education		Date	1
Danner O	lam		12-17	- Marine
Superintendent of S			Date	
Lamer	L Vance		12-14	1-14
Chief Elected Offic	ial		Date	•
	Number of Classrooms	Total Number of	f Children	
	2	48		
				,

	Capital	Annual Operating
Total Funds Requested	Improvements	Expenses
\$ 351,046.00	\$92,653.00	\$ 258,393.00

<sup>\*</sup>It is the responsibility of the grantee to provide up-to-date contact information. In the event of any changes, contact information must be reported to the Smart Start Manager within five business days.

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## Part I. Program Information and Requirements

#### Purpose

Smart Start is intended to serve the following purpose as specified in Public Act 14-41:

"To reimburse local and regional boards of education for capital and operating expenses related to establishing or expanding a preschool program under the jurisdiction of the board of education for the town."

#### Background

The Connecticut Office of Early Childhood (OEC) is committed to providing children greater access to high-quality preschool programs in an effort to reduce the achievement gap between the state's poorest students and their wealthier peers. Research shows that access to high-quality preschool programs provides important foundational skills needed for academic and lifelong success. Expanding preschool services to high-need children will increase opportunities for promoting children's development and school readiness. Through Smart Start, more children can be engaged in high-quality preschool experiences that address the whole child including the developmental domains of cognition, science, mathematics, creative arts, language and literacy, physical health and development, social and emotional development, and social studies.

Grantees are able to propose expanding current preschool programs to include additional children or to develop new preschool programs. Funding must be used to support enrollment in preschool programs that are at minimum school-day and school-year in duration (i.e., a minimum of 6 hours/day and 180 days/year).

Local Education Agencies (LEAs) demonstrating unmet need are considered eligible and are invited to submit applications for Smart Start (see Appendix D).

This grant is intended to serve additional children who would not otherwise be able to enroll in a preschool program.

## **Conditions for Funding**

LEAs funded through this grant must:

- Locate proposed preschool classroom(s) in a public school or in a space maintained by an early care and education and child development provider, pursuant to an agreement between the board of education and the provider;
- Hold accreditation and be in good standing with the National Association for the
  Education of Young Children (NAEYC), be approved and/or in good standing with the
  federal Head Start program, have documentation that they are in the process of obtaining
  accreditation from NAEYC or have a plan to ensure that the program will be accredited
  within three years of receiving funding through Smart Start;
- Comply with annual interim quality measures while seeking accreditation (Early Childhood Environmental Rating Scale);
- Submit a letter of support for establishing or expanding a preschool program by the local or regional School Readiness Council, if any, for the school district.
- Have class sizes that do not exceed 18 children;

- Demonstrate evidence of cooperating and coordinating with other governmental and community programs to provide services during periods when the preschool program is not in session; and
- Demonstrate how they will prioritize enrollment of children with special needs and children who are dual language learners.

Priority for funding will be given to boards of education that:

- Demonstrate the greatest need for the establishment or expansion of a preschool program;
- Allocates at least sixty percent of the spaces to children who are members of families that
  are at or below seventy-five percent of the state median income, or fifty percent of the of
  the spaces to children who are eligible for free and reduced price lunches; and
- Offer a full-day kindergarten program to all children.

LEAs may utilize other funding streams that may be available locally and/or privately. LEAs may also collect family fees for services provided through Smart Start (see Appendix E).

Each classroom proposed for funding must be taught by an individual who is an employee of the board of education and holds certification pursuant to Connecticut General Statutes Sec. 10-145b with an endorsement in early childhood education or early childhood special education as follows:

- 112 Integrated Early Childhood/Special Ed., Birth K
- 113 Integrated Early Childhood/Special Ed., N/K through grade 3
- 001 PreK-Grade 8
- 002 PreK Grade 6
- 003 PreK-Grade 3
- 008 PreK-K
- 065 Comprehensive Special Education PreK-Grade 12

The LEA must guarantee that any classroom that has been renovated using Smart Start funds will remain in use as a pre-kindergarten classroom for the duration of the Smart Start funding period for operating expense.

#### Eligible Applicants (P.A. 14-41 (b)(1))

LEAs are eligible to apply if they either:

 Have been determined through a statewide needs assessment conducted by the Connecticut Health and Educational Facilities Authority (CHEFA) on behalf of the OEC to have an unmet need for additional preschool spaces for high-needs 3- and 4-year-olds in their community.

(Refer to Appendix D for a list of eligible LEAs.)

OR,

• Have not been determined eligible as a result of the statewide needs assessment conducted on behalf of the OEC, but can demonstrate an unmet need. Any LEA not found to have an unmet need based on the statewide needs assessment must submit a justification with supporting documentation demonstrating unmet need. Evidence of need requires significant documentation as well as a statement by the Chief Elected Official and Superintendent of Schools about the unmet need.

### **Grant Period**

Following approval, the OEC anticipates the funding of Smart Start for a five-year period based on the availability of funds. The funding will be allocated in two parts:

- 1) Capital expenses (not to exceed \$75,000 per classroom) for costs related to renovation of an existing public school in year one of the grant; and
- 2) Operating expenses for years two through five of the grant (not to exceed \$5,000 per child, OR in an amount not to exceed \$75,000 for each preschool classroom; annual operating costs cannot exceed \$300,000 per town).

Operations funding will be awarded for a period not to exceed six years. The LEA is responsible for entering the budget on an annual basis using the ED114 Prepayment Grant System.

Funding Year	Capital Expenses for Renovations	Operations
2014-2015	Up to \$75,000 per classroom	Not Available
2015-2016	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2016-2017	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2017-2018	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2018-2019	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2019-2020	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2020-2021	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2021-2022	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2022-2023	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2023-2024	Up to \$75,000 per classroom	\$5,000/child = \$75,000/classroom (maximum of \$300,000)
2024-2025	Not Available	\$5,000/child = \$75,000/classroom (maximum of \$300,000)

#### Funds Available

The amount of \$15,000,000 is anticipated to be available for capital expenses for funding year 2014-2015. \$10,000,000 per year is anticipated to be available for capital expenses per year thereafter for nine years.

The amount of \$10,000,000 is anticipated to be available for operating expenses for funding year 2015-2016. \$10,000,000 is anticipated to be available for operating expenses per year thereafter for nine years.

New applicants for Smart Start funds may be considered on an annual basis.

Successful applicants are required to submit updated narrative reports, budgets and budget justifications to the Office of Early Childhood every two years. The applicant will be responsible for entering the budget on an annual basis using the ED114 Prepayment Grant System.

All grants shall be awarded within the limits of available funding. Should all available funds not be obligated the OEC reserves the right to reissue this application.

## **Part II: Application Requirements**

THE FOLLOWING FORMAT IS REQUIRED FOR ALL APPLICATIONS. THE OEC WILL NOT ACCEPT MODIFICATIONS, ADDITIONAL PAGES, ATTACHMENTS OR APPENDICES.

#### **Cover Sheet**

The format for the cover sheet appears on page 15 of this document. The cover sheet must be signed by the Superintendent of Schools, the Chief Elected Official and the Chairperson of the local board of education. The cover page must identify the amount of funding requested for capital improvements and/or operating expenses as well as the number of proposed classrooms and number of additional preschool children to be served as a result of Smart Start funding.

#### **Proposal Abstracts**

Separate abstracts are required for requests that include funding for both capital improvements and operating costs. The abstracts must include how the applicant plans to meet the purpose of the Smart Start and provide high-quality preschool programing. The following information must be included:

#### Capital Improvements

- Key features of the capital improvements and program operations;
- Specific capital improvements planned (including specific information about capital improvements necessary to meet NAEYC program accreditation standards); and
- Number of classrooms to be created through Smart Start.

## Operations

 A letter of support for establishing or expanding a preschool program by the local or regional School Readiness Council, if any, for the school district;

- Total number of additional preschool children to be served as a result of Smart Start;
- NAEYC accreditation/demonstration of commitment to secure NAEYC accreditation within three years of operation or Head Start approval;
- How Smart Start will contribute to the district's efforts to create a coherent pre-Kindergarten to 3<sup>rd</sup> Grade continuum;
- Plans for recruitment and prioritization of children who are dual language learners (DLL)
  or identified with special needs and projections of how many children from the categories
  will be served;
- Plan for hiring and retaining qualified staff including a teacher for each classroom that
  meets the requirements detailed in the conditions for funding on page two of this
  application;
- Evidence of cooperation and coordination with other governmental and community programs to provide services during periods when the preschool program is not in session (e.g. after school, winter and summer breaks, etc.);
- Plans for charging tuition using the required sliding fee scale (see Appendix E) or a statement that the program will not charge tuition; and
- Evidence of collaboration with other governmental and community programs to make appropriate referrals for other services as needed.

## **Program Data**

Please complete the following table

Program address	Number of classrooms	Number of children	Hour per day	Days per year
3 SchoolHouse Rd Columbia CT	2	37	2.75	
				-

## Accreditation/Head Start Approval Information

Are you accredited by NAEYC or a federal Head Start grantce? If yes, complete the following information:

NAEYC	Valid	Are you	Have you	Have you	Has the	Are you	If you
ID#	until	aware of	submitted	submitted	program	a Federal	are a
	date	and	Intent to	Renewal	been denied	Head	Federal
		planning	Renew?	Materials?	candidacy,	Start	Head
		to meet		If yes,	or deferred	grantee?	Start
		NAEYC's		indicate	or denied		grantee
		renewal		date.	accreditation		what is
		timeline?			by NAEYC?		your
							annual
							funding
							month?
			1 1			No. 2 100 100 100 100 100 100 100 100 100 1	
n/a	n/a	n/a	n/a	n/a	No	No	n/a

If not currently accredited or a federal Head Start grantee complete the following information:

Have you enrolled (Step 1)	Have you applied (Step 2) for	If not currently enrolled, planned	
with NAEYC?	accreditation? If	enrollment date?	application date?
	yes, indicate candidacy date.		
no	no	no	May 2, 2015

### **Proposed Services and Activities**

#### Part I: Capital Improvements

Describe the facility and capital improvements planned in order to meet NAEYC and/or Head Start Program Standards. Include a timeline detailing when the planned improvements are to take place as well as specific reference to the NAEYC Standards and Accreditation Criteria and/or Head Start program standards that are being addressed. Note that educational environments include both indoor and outdoor space used by children. New construction will not be considered for this grant program.

Applications for capital improvements will not be considered unless an approved operations plan (Part II of this application) is submitted.

## Part II: Operations

Describe the staffing patterns that will enable services to be provided in accordance with NAEYC and/or Head Start Program Standards. Identify all proposed staff/positions (e.g. teachers, paraprofessionals, classroom aides) to be funded through Smart Start and the proposed rates of pay.

The plan must include the hours and days of operation, as well as how the program will coordinate with other governmental and community programs to provide services during periods when the preschool program is not in session. Please address plans for collaboration with other agencies and services to meet the needs of the children you propose serving.

Describe how the program will address the cycle of intentional teaching (learning standards, curriculum and assessment), including use of the Connecticut Early Learning and Development Standards (CT ELDS), and aligned student assessments appropriate for the developmental level and intended purpose. Describe how the LEA proposes to actively engage and form partnerships with families in order to support children's learning.

Describe the need for programming for children with identified special needs and those who are dual language learners. Provide detail about how the program will recruit and include children with special needs and those who are dual language learners. Include a description of how you will ensure the number of children with special needs does not exceed the proportion of children with special needs in grades K through 12. Describe specific efforts to meet students' unique needs.

Provide information about family fee collection if the program intends to charge tuition using the approved sliding fee scale (see Appendix E). Please provide information about other funding sources for the program.

### Reporting and Evaluation

Each local or regional board of education receiving Smart Start funding shall submit an annual report, in a format prescribed by the Office of Early Childhood, regarding the status and operations of the preschool program.

#### **Budget Forms**

Use the appropriate form(s) on pages 23 through 24 to indicate how the grant funds will be expended for each year of funding. A grantee (fiscal agent) with delegate agencies must provide a composite budget that includes proposed expenditures for the delegate agencies. Such fiscal agent must complete individual budget justification sheets and attach for each delegate agency represented in the composite budget.

Applicants must provide budget amounts for the periods of: May 1, 2015, through June 30, 2016 for capital improvements and July 1, 2015, through June 30, 2016 for operating expenses. The OEC does not permit grantees to use more that 5 percent of the total budget for administrative costs. Administrative costs are reflected on budget lines 111A.

The alignment of the budget with the purpose and scope of Smart Start is one of the criteria for award consideration.

## **Budget Justification**

Provide a detailed explanation by code number of the line item expenditures in each budget. Separate budgets for capital expenses and program operations are required.

#### Statement of Assurances

The Statement of Assurances must be signed and included with this application and certified on the Cover Sheet by the applicant agency.

#### **Affirmative Action Packet**

In accordance with the regulation established by the Commission on Human Rights and Opportunities, each applicant is required to have a completed Affirmative Action Packet on file with the Connecticut Office of Early Childhood (OEC). This grant application contains the "Affirmative Action Certification Form" certifying that an Affirmative Action Plan is on file with OEC. The individual authorized to sign on behalf of the applicant must sign and return the Affirmative Action Certification Form and submit such form with the grant application.

## **Date of Board Acceptance**

If it is not possible to obtain board approval prior to December 19, 2014, please submit the application by this date. Send official board approval no later than January 9, 2015.

## Part III: Application Process

#### **Obligations of Grantees**

All bidders are hereby notified that the grant to be awarded is subject to contract compliance requirements as set forth in C.G.S. Sections 4a-60, 4a-60a and Sections 4a-68j-1 et seq. of the Regulations of the Connecticut State Agencies.

The grantee must submit periodic reports of its employment and sub-contracting practices in such form, in such a manner and in such time as may be prescribed by the Commission on Human Rights and Opportunities.

#### **Freedom of Information Act**

All of the information contained in a proposal submitted in response to this Request for Proposal (RFP) is subject to the Freedom of Information Act Sections 1-200 et seq. (FOIA). The FOIA declares that, except as provided by federal law or state statute, records maintained or kept on file by any public agency (as defined in the statute) are public records and every person has the right to inspect such record and receive a copy of such records.

## Management Control of the Program and Grant Consultation

The grantee must have complete management control of this grant. While the OEC staff may be consulted for their expertise they will not be directly involved in the expenditure and payment of funds.

#### **Updating of information**

The applicant is required to provide updates of <u>any changes in program administration</u> and <u>copies of all reports relating to findings, deficiencies or investigations</u> by Head Start, the CT Department of Public Health or local health department, the U.S. Department of Health and Human Services, the CT Department of Children and Families or any agency or organization reviewing the program or program staff.

 Self-reporting of information (including accompanying documentation) must be received by the OEC program manager within 72 hours of any significant incident or report.

#### **Definition of Terms**

- The following definitions should be considered when drafting the application:
- School day: programming provided for a minimum of 6 hours
- School year: programming provided for a minimum of 180 days
- Children with special needs: children who have an Individual Education Program (IEP)
- Dual language learners: children who are acquiring two or more languages simultaneously. The term encompasses other terms frequently used e.g. Limited English Proficient (LEP), bilingual, English Language Learners (ELL), children who speak a language other than English (LOTE)
- Capital improvement: a structural improvement or restoration of some aspect of a property that will improve the property's ability to function as a pre-Kindergarten

- classroom based on NAEYC Standards and Accreditation Criteria or Head Start program standards (includes furnishings/equipment with a ten year life).
- *Unmet need*: a documented deficit of center-based pre-Kindergarten programs for 3 and 4 year-olds.

#### **Due Date**

An electronic copy of the grant application must be received by 4:30 PM on December 19, 2014. Additionally, original signature pages as well as a copy of the application on a CD must be received by 4:30 PM on December 19, 2014. Original signatures must be received by the due date IRRESPECTIVE OF POSTMARK DATE. Faxed copies of the signatures will not be accepted.

Mailing and Delivery Information

Mailing Address:	Delivery Address:
Andrea Brinnel, Smart Start Manager	CT Office of Early Childhood
CT Office of Early Childhood	165 Capitol Avenue, Room G-17
PO Box 2219	Hartford, CT 06106
Hartford, CT 06145-2219	oec@ct.gov
E CONTRACTOR CONTRACTO	1

## Review of Proposals and Grant Awards

The OEC reserves the right to make grant awards under this program without discussion with applicants. Therefore, applicants should submitt proposals that present the project in the most favorable light from both technical and cost standpoints.

The Smart Start Manager will be available to answer questions concerning this RFP at <a href="mailto:andrea.brinnel@ct.gov">andrea.brinnel@ct.gov</a> or 860-713-6771. A bidder's conference will be held on November 3, 2014 from 9:00 to 11:30 AM in Room 307 A/B of the State Office Building located at 165 Capitol Avenue in Hartford. The conference will also be live streamed in Conference Room 3 at The Connecticut State Department of Education Middletown offices located at 25 Industrial Park Rd. If attending the live streaming in Middletown, please enter through the rear entrance. Attendees will be required to sign in with security.

The OEC will review and rate proposals according to the Review Criteria in this RFP. The OEC intends to announce grant awards by May 2015.

#### **Other Program Requirements**

The OEC may conduct announced and unannounced on-site monitoring visits of any grant awardees.

The OEC requires LEAs that receive funding under this grant to submit annual and monthly activity reports and attend meetings as scheduled by the OEC.

Annual reports must be submitted to the OEC, on or before August 15 following the end of the funding year.

Within 60 days after the close of the fiscal year, each grantee must file a financial statement of expenditures with the OEC on such forms as prescribed by the OEC.

LEAs receiving Smart Start funding (for capital improvements and/or operations) are required to participate in evaluations conducted by or on behalf of the Office of Early Childhood.

## Part IV: Review Criteria

## **SMART START GRANT**

## **Application Checklist**

	Yes	No
Proposal Abstract for Capital Improvements	✓	
Budget for Capital Improvements	<b>✓</b>	
Budget Justification Sheet for Capital Improvements	✓	
Proposal Abstract for Operating Expenses	✓	
Budget for Operating Expenses	✓	
Budget Justification for Operating Expenses	4	
NAEYC Accreditation/Head Start Approval or Evidence of Application	✓	
Letter of support from School Readiness Council (if applicable)		
Statement of Assurances	✓	
Affirmative Action Packet	✓	

Scoring Rubric		
1. Applicant meets basic requirements All basic requirements must be met to be considered for funding.	Yes	No
Letter of support from School Readiness Council (if applicable)		
Additional preschool spaces are proposed.		
NAEYC accredited or have a detailed plan to achieve NAEYC accreditation or Head Start approved.		
Unmet need is documented through statewide needs assessment or through the provision of specific data.		
Program is able to demonstrate a plan for proportional enrollment of children:  • With special needs (proportionate to K-12 enrollment)  • Who are dual language learners		
All teachers have required certification.		
Proposed class size does not exceed 18.		
Expenditures for administrative costs in the proposed budget do not exceed 5 percent.		
2. Overall Proposal: The proposal is able to demonstrate the LEA's ability to provide a high-quality preschool program	for 3- and 4-ye	ear-olds. Possible Point
Offers full-day kindergarten to all age eligible children		5
Allocates at least sixty percent of the spaces to children who are members of families that are at or below seventy-five percent of the state median income, or fifty percent of the spaces to children who are		10

	Points Awarded	Possible Point
Offers full-day kindergarten to all age eligible children		5
Allocates at least sixty percent of the spaces to children who are members of families that are at or below seventy-five percent of the state median income, or fifty percent of the of the spaces to children who are eligible for free and reduced price lunches and has a recruitment plan.		10
Staffing patterns are appropriate		5
Describes a cycle of intentional teaching and use of Connecticut Early Learning and Development Standards (planning and implementation/observing and assessing).		10
Describes efforts to engage families.		5
Use of appropriate child assessments and appropriate use of assessment data.		10
Includes plan for Smart Start as a part of a Pre-Kindergarten to Grade 3 continuum.		5
Describes collaborative and coordinating efforts with local agencies and organizations to provide services when school is not in session.		5
		(55)

3. Program Evaluation

There is a plan to use the child and program evaluation data for continuous program improvement	10
Plans for program evaluation include data collection methods and tools (e.g. ECERS, CLASS, parent	10
surveys, etc.).	
	(20)

4. Budget

Proposed capital expenditures are tied to specific NAEYC and Head Start program standards.	5
Proposed expenditures for capital improvements are appropriate and cost effective.	5
Proposed expenditures for program operations are appropriate.	5
Budget justifications for capital improvements provide detailed information on how the funds are to be spent.	5
Budget justifications for program operations provide detailed information on how the funds are to be spent.	5
	(25)

TOTAL

	Possible Points	Points Scored
ı	100	

**Proposal Abstracts** 

Provide a one-page abstract that contains a summary for each of the funding requests. There should be a separate abstract for capital expenses and a separate abstract for operations. Use space provided.

## Capital Improvement Abstract

Bathroom Addition-

The expansion of our preschool program and the increased enrollment requires the addition of a new bathroom facility, in order to stay in compliance with state guidelines, provide appropriate toileting space and meet NAEYC standards. Additionally, this new facility would provide quicker and easier access for all students and make it more feasible to aid students who require assistance. The bathroom would include size appropriate toilets and sinks as well as private changing stations. The estimated cost would be \$24,500.00. The attached sheet further breaks down the associated costs.

Technology-

In order provide a 21st century education and fully align our curriculum to the new Early Learning and Development Standards (CT ELDS) we are requesting funds to purchase individual student I-pads as well as SMART tables. Current State Standards emphasize technology as a way to learn knowledge and skills. We believe by giving our students early hands on experience with technology that we will provide them with the basic technology skills required for future school success. It would also provide improved access to the curriculum for students with disabilities and enable teachers to more easily collect data and individualize learning. The attached sheet further breaks down the associated costs.

Playground Restructuring-

NAÉYC requires that all preschool playground are certified and undergo a safety Inspection. Certified playgrounds must be fenced in or surrounded by a natural barrier to ensure safety. Additionally, outdoor play areas must accommodate abilities, needs and interests of each age group the program serves. In order to meet these standards we are requesting funds to add fencing around our playscape. Which would enhance safety and enable wheel chair access. The attached sheet further breaks down the associated costs.

## Operations Abstract

Horace Porter's preschool has been in operation since 1983. We are located within Horace Porter School a pre-k through eighth grade public school in Columbia, CT. Our mission is to provide a high-quality, inclusive preschool education by focusing on the whole child. This means that we address the social-emotional, cognitive, and physical aspects of child development. We believe that young children learn best through guided play and exploration in an environment that has been carefully designed to promote learning. We also believe that children learn a great deal from each other, and that adults can do much to support this learning process. Therefore, children with and with out identified Special Needs are brought together in order to help them learn and grow. Each classroom is staffed by a certified preschool teacher and teacher's assistant, additional paras are added based upon the individual needs of the students. Additionally, our Speech and Language Pathologists play and integral role within the classrooms; often team teaching to enhance language development and learning. Physical and Occupational therapists provide services on an as need basis.

Our curriculum is based on the Connecticut Preschool Curriculum Framework, which includes Personal-Social Development, Cognitive Development (Language and Literacy, Math, Scientific Thinking, Problem-Solving), Physical Development, and Creative Expression. We are in the process of incorporating additional components from the new Early Learning and Development Standards (CT ELDS) to ensure that students leaving our preschool program will have all the foundational skills necessary to meet the demands of the kindergarten curriculum.

Based upon census numbers from 2011 and 2012 year, a total of 72 students were born in Columbia during those years. This indicates that approximately 72 preschool age students will be eligible for preschool programming in the 2015/2016 school year. However, despite the creation of a new classroom space in the 2013/2014 school year and marketing efforts within the community (inclusive of the creation and distribution of a preschool informational pamphlets and a preschool open house), enrollment has only increased only slightly from 35 students in the 2013/2014 school year to 37 students in the 2014/2015 school year. In researching possible factors impacting our enrollment numbers both transportation and financial constraints have been determined to limit student accessibility. With additional funding through the Smart Start Grant we would be able to provide free tuition and transportation to all preschool students, eliminating financial barriers to families who otherwise would chose to send their children to Porter's preschool. We would also request funds to increase Speech Pathology services to meet the increasing needs of our preschool students, including children with disabilities and to keep in alignment with NAEYC policies.

Our goal for the 2015/2016 school year is to provide preschool programming to 48 students within our two preschool classrooms. Each classroom would have 12 students in the am and pm sessions, totaling 24 students per classroom. Each Dependent upon the response and increased interest in the preschool program a third classroom space would be available for further expansion. Thus increasing the potential enrollment to 72; the number of students shown eligible for preschool. This is based upon current cost projections.

Additionally, with the expansion of the preschool program in is our intent to seek NAEYC accreditation. A recent review of the NAEYC accreditation standards has been completed and Horace Porter's Preschool meets most of these standards currently. The funds derived from the Smart Start Capitol Improvement Funds will help to complete the larger projects necessary to meet all standards within the specified time frame, beginning with the application on or before January 8th, 2015.

#### **Documentation and Evaluation**

Describe the methods and procedures used to evaluate the program, classroom and children's progress. Describe how the data gathered will be used for continuous program improvement as well as planning for instruction, including strategies for sharing progress and communicating with families. Use space provided as necessary.

Our program has an ongoing monitoring system to ensure that all program goals and requirements are met. Goals are set annually based upon assessed needs. Progress is then tracked utilizing a data system to collect evidence, which is incorporated in the annual teacher and program evaluation. Additionally, all teachers are evaluated following the guidelines of the Connecticut Teacher Evaluation system.

Student progress is monitored through universal screenings and on-going collection of data inclusive of; observations, anecdotal note-taking, skills checklists, digital photography work products and formalized assessments throughout the school year. We also use portions of the Connecticut Preschool Assessment Framework to track student progress. Individual students goals are then developed based upon student performance and the observed needs. We are also currently working with Eastconn to improve and streamline our assessment processes for grades pre-k through eight.

Parent/ guardian participation is strongly encouraged, as we believe that parents and guardians are the most important teachers in a child's life. Each year we hold an open house and welcome parents to see the program, ask questions and begin their partnership with the Preschool. We additionally provide a mininum of two additional opportunites throughout the year for parent education workshops. Workshops are developed impart based upon survey information from parents. Progress reports are issued three times per year. We have separate three-year-old and four-year-old reports, each listing age-appropriate skills from the Preschool Assessment Framework. Parent conferences are held in December and March. Between report cards, information is shared with individual families, through emails and/or phone calls. Monthly newsletters are also sent to families, informing them of topics, themes, learning goals as well as reinforcing and enriching activities that can be implemented at home.

**Documentation and Evaluation (continued)** 

## Inclusion of special populations and collaboration

Describe how the program will prioritize enrollment of children who are dual language learners and/or have special needs. Describe how the program will collaborate with other agencies and organizations to provide services for children. Use space provided as necessary.

Our mission is to provide a high-quality, inclusive preschool education. We also believe that children learn a great deal from each other, therefore, children with identified Special Needs are included in all aspects of the preschool program, to help them excel to there fullest potential. Each classroom is staffed by a certified preschool teacher with experience teaching students with special needs. Para professionals are also added based upon the individual needs of identified students. Additionally, our Speech and Language Pathologists play and integral role within the classrooms, often team teaching to enhance language development. Physical therapists, occupational therapists, BCBA therapists and counseling services are provided on an as need basis, through contracted outside agencies. We also contract out for Assistive Technology evaluations. All parents are given information on community resources and connected to providers for wrap around services and family system needs, as needed.

To ensure that all students are meeting their developmental milestones and to help to ensure that our youngest learners have solid foundational skills for future life and school success we are implementing a similar framework of SRBI, appropriate for early childhood. This system includes intentional instruction in areas of identified concerns, close monitoring of progress, add on services and special education referrals as needed.

To ensure that we can meet the needs of all incoming students with special needs a predetermined number of slots are reserved for identified students and thus not included in the lottery process. The number of slots set aside is determined through looking at enrollment data from previous years, in addition to the students currently being serviced through Birth to three.

Inclusion of special populations and collaboration (continued)

## SAMPLE BUDGET JUSTIFICATION Year 1 & 2

Indicate costs of implementing Funding Years 2014-2015 and 2015-2016 by budget code. Refer to Budget Object Codes in application packet for code descriptions. A worksheet is provided following each Budget Form page. Use additional pages if needed.

A summary explanation must be provided for each line item expenditure noted in your budget. Examples are provided below.

## **Examples:**

	Code #	Description	Expenditure Explanation	
ſ	111B	INSTRUCTIONAL	3 hours per day for 39 weeks	\$ 15,470
			8 hours per day for 13 weeks (total 1,105 hours)	
L			1,105 hours @\$14/hour x 1 teacher	
	200	PERSONAL	FICA @ 7.68% of salaries: \$1,925 \$ 7,063	
		SERVICES-	Worker's Compensation 6.9% of salaries: \$850	
		EMPLOYEE	Health Insurance @ \$3,525/staff/year: \$4,288	
ĺ		BENEFITS		

## **SMART START**

## FISCAL YEARS 2015-2016

### BUDGET FORM

GRAN	ITEE NAME:		T	OWN CODE:	
GRAN	IT TITLE: <mark>CAPITAL IMPR</mark> C	OVEMENTS	YEA	ARS:	
PROJI	ECT TITLE:				
FUND		R: 2015 PROG:	CF1:	CF2:	
GRAN	IT PERIOD: $7/1/15 - 6/30/1$	7 AUTHOI	RIZED AMOU	NT: \$	
CODEC	DECCRIPTIONS			DUDCET	AMOUNT
CODES	DESCRIPTIONS			BUDGET FY 14-15	FY 15-16
300	PURCHASED SERVICES/T	ECHNICAL SERV	/ICES	<u> </u>	F 1 15-10
400	PURCHASED PROPERTY SELECTION				25,000.00
500	OTHER PURCHASED SERVIO	CES			
600	OTHER SUPPLIES				3,000.00
700	PROPERTY				64,653.00
800	OTHER OBJECTS				
		1. 10. 10			
				X #	
	TOTAL			0	NaN
	ORIGINAL REQUEST DATE				
	REVISED REQUEST DATE	OFFICE OF EARI PROGRAM MAN			DATE OF APPROVAL

## **SMART START**

## FISCAL YEARS 2015-2016

## BUDGET FORM

GRANTEE NAME: TOWN CODE:			
GRANT TITLE: OPERATIONS YEARS:			
1	ECT TITLE:	CVDO	
FUND		CF2:	
GRAN	VT PERIOD: 7/1/15 –6/30/17 AUTHORIZED AMO	JN1: \$	
CODES	DESCRIPTIONS	BUDGET	AMOUNT
		FY 15-16	FY 16-17
111A	NON-INSTRUCTIONAL SALARIES	28,266.00	48,303.00
111B	INSTRUCTIONAL SALARIES	20,808.00	31,681.00
200	PERSONAL SERVICES-EMPLOYEE BENEFITS	21,358.00	33,740.00
322	INSERVICE	500.00	600.00
323	PUPIL SERVICES	9,526.00	14,136.00
324	FIELD TRIPS	180.00	220.00
325	PARENTAL ACTIVITIES	130.00	175.00
330	EMPLOYEE TRAINING AND DEVELOPMENT SERVICES	300.00	505.00
340	OTHER PROFESSIONAL SERVICES	0	0
400	PURCHASED PROPERTY SERVICES	0	0
510	STUDENT TRANSPORTATION SERVICES	14,265.00	28,530.00
530	COMMUNICATIONS	80.00	120.00
580	TRAVEL	120.00	182.00
600	SUPPLIES	1,534.00	1,934.00
700	PROPERTY	400.00	800.00
	TOTAL	NaN	NaN

ORIGINAL REQUEST DATE		<u> </u>
 REVISED REQUEST DATE	OFFICE OF EARLY CHILDHOOD	DATE OF
	PROGRAM MANAGER AUTHORIZATION	APPROVAL

Indicate costs of implementing FY 15-16 and FY 16-17 by budget code. Refer to Budget Object Codes in application packet for code descriptions. Use additional pages if needed.

Code #	Description
700	Materials for Bathroom addition \$10,000.00 (FY15-16)
400	Labor/Construction costs for Bathroom addition \$25,000.00 (FY15-16)
	Total \$ 35,000.00
1	

Indicate costs of implementing FY 15-16 and FY 16-17 by budget code. Refer to Budget Object Codes in application packet for code descriptions. Use additional pages if needed.

Code #	Description
700	Fencing for outdoor play area \$3,740 (FY 15-16)
	Total \$3,740.
	10ται ψο, 140.

Indicate costs of implementing FY 15-16 and FY 16-17 by budget code. Refer to Budget Object Codes in application packet for code descriptions. Use additional pages if needed.

Description
24 student I-Pads totaling \$11,976.00 (FY16-17)
1 Smart Tables totaling \$ 4,995.00 (FY16-17)
Applications for I-Pads \$1,000 (FY16-17)
Total \$ 17,971

Indicate costs of implementing FY 15-16 and FY 16-17 by budget code. Refer to Budget Object Codes in application packet for code descriptions. Use additional pages if needed.

Code #	Description
700	48 student I-Pads totaling \$23,952.00 (FY 15-16)
700	2 Smart Tables totaling \$ 9,990.00 (FY 15-16)
600	Applications for I-Pads \$2,000 (FY 15-16)
	Total \$ 35,942
	·
L	

#### OPERATIONS BUDGET JUSTIFICATION

Indicate costs of implementing FY 15-16 and FY 16-17 by budget code. Refer to Budget Object Codes in application packet for code descriptions. Use additional pages if needed.

Code #	Description
510	Transportation \$32,265.(FY15-16) \$64,530 (FY 16-17)
111B	Speech Pathology Services .2 \$16,683 (FY 15-16) \$33,366 (FY 16-17)
	Teacher Salaries \$27,125 (FY 15-16) \$45,315 (FY 16-17)
111A	Para Educators \$10,266 (FY 15-16) \$16,303 (FY 16-17)
200	EMPLOYEE Benefits \$11,359 (FY 15-16) \$13,740 (FY 16-17)
322	I/service \$500 (FY 15-16) \$600 (FY 16-17)
323	Pupil Services \$29,529 (FY 15-16) \$31,136 (FY 16-17)
324	Field Trips \$180. (FY 15-16) \$220 (FY 16-17)
325	Parent Education \$130 (FY 15-16) \$175 (FY 16-17)
330	TRAINING \$300 (FY 15-16) \$505. (FY 16-17)
530	Communications/Marketing \$80 (FY-15-16) \$120 (FY 16-17)
580	Travel \$120. (FY 15-16) \$182. (FY 16-17)
600	SUPPLIES/Curriculum \$1,400 (FY 15-16) \$2,100. (FY 16-17)
I	

## **APPENDIX A: Budget Object Codes**

This list is a description of the budget codes. The list is provided to help you in designing budgets for operating expenses and capital improvements.

#### 111A Non-Instructional

Amounts paid to administrative employees of the grantee not involved in providing direct services to pupils/clients. Include all gross salary payments for these individuals while they are on the grantee payroll including overtime salaries or salaries paid to employees of a temporary nature.

#### 111B Instructional

Salaries for employees providing direct instruction/counseling to pupils/clients. This category is used for both counselors and teachers. Include all salaries for these individuals while they are on the grantee payroll including overtime salaries or salaries of temporary employees. Substitute teachers or teachers hired on a temporary basis to perform work in positions of either a temporary or permanent nature are also reported here. Tutors or individuals whose services are acquired through a contract are not included in the category. A general rule of thumb is that a person for whom the grantee is paying employee benefits and who is on the grantee payroll is included; a person who is paid a fee with no grantee obligation for benefits is not.

#### 200 Personal Services - Employee Benefits

Amounts paid by the grantee on behalf of the employees whose salaries are reported in objects 100 or 111A and 111B. These amounts are not included in the gross salary, but are in addition to that amount. Such payments are fringe benefit payments and, while not paid directly to employees, nevertheless are part of the cost of personal services. Included are the employer's cost of group insurance, social security contribution, retirement contribution, tuition reimbursement, unemployment compensation and workmen's compensation insurance.

#### 300 Purchased Professional and Technical Services

Services which, by their nature, can be performed only by persons or firms with specialized skills and knowledge. While a product may or may not result from the transaction, the primary reason for the purchase is the service provided. Included are the services of architects, engineers, auditors, dentists, medical doctors, lawyers, consultants, teachers, accountants, etc.

#### 322 In-service (Instructional Program Improvement Services)

Payments for services performed by persons qualified to assist teachers and supervisors to enhance the quality of the teaching process. This category includes curriculum consultants, in-service training specialists, etc., who are not on the grantee payroll.

#### 323 Pupil Services (Non-Payroll Services)

Expense for certified or licensed individuals who are not on the grantee payroll and who assist in solving pupils' mental and physical problems. This category includes medical doctors, therapists, audiologists, neurologists, psychologists, psychologists, contracted guidance counselors, etc.

#### 324 Field Trips

Costs incurred for conducting educational activities off site. Includes admission costs to educational centers, fees for tour guides, etc.

#### 325 Parental Activities

Expenditures related to services for parenting including workshop presenters, counseling services, baby- sitting services, and overall seminar/workshop costs.

#### 330 Employee Training and Development Services

Services supporting the professional and technical development of school district personnel, including instructional, administrative, and service employees. Included are course registration fees (that are not tuition reimbursement), charges from external vendors to conduct training courses (at either school district facilities or off-site), and other expenditures associated with training or professional development by third-party vendors.

#### 340 Other Professional Services

Professional services other than educational services that support the operation of the school district. Included, for example, are medical doctors, lawyers, architects, auditors, accountants, therapists, audiologists, dieticians, editors, negotiations specialists, paying agents, systems analysts, and planners.

#### **400 Purchased Property Services**

Services purchased to operate, repair, maintain, and rent property owned or used by the grantee. These services are performed by persons other than grantee employees. While a product may or may not result from the transaction, the primary reason for the purchase is the service provided.

#### 500 Other Purchased Services

Amounts paid for services rendered by organizations or personnel not on the payroll of the grantee (separate from Professional and Technical Services or Property Services). While a product may or may not result from the transaction, the primary reason for the purchase is the service provided.

#### 510 Student Transportation Services

Expenditures for transporting pupils to and from school and other activities. Included are such items as bus rentals for field trips and payments to drivers for transporting handicapped children.

#### 530 Communication

Services provided by persons or businesses to assist in transmitting and receiving messages or information. This category includes telephone and voice communication services; data communication services to establish or maintain computer based communications, networking, and Internet services; video communications services to establish or maintain one-way or two-way video communications via satellite, cable, or other devices; postal communications services to establish or maintain postage machine rentals, postage, express delivery services, and couriers. Include licenses and fees for services such as subscriptions to research materials over the Internet software, both 'downloaded' and 'off-the-shelf,' should be coded to objects 650 or 735.

#### 580 Travel

Expenditures for transportation, meals, hotel and other expenses associated with staff travel. Per diem payments to staff in lieu of reimbursement for subsistence (room and board) are also included.

### 600 Supplies

Amounts paid for items that are consumed, worn out, or deteriorated through use, or items that lose their identity through fabrication or incorporation into different or more complex units or substances.

#### 700 Property

Expenditures for acquiring fixed assets, including land or existing buildings, improvements of grounds, initial equipment, additional equipment, and replacement of equipment. In accordance with the Connecticut State Comptroller's definition equipment, included in this category are all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value of over \$1,000.00 and the useful life of more than one year and data processing equipment that has unit price under \$1,000.00 and a useful life of not less than five years.

### 800 Debt Service and Miscellaneous

Amounts paid for goods and services not otherwise classified above.

## **APPENDIX B: Affirmative Action Plan**

IF A CURRENT AFFIRMATIVE ACTION PLAN IS ON FILE WITH THE CONNECTICUT OFFICE OF EARLY CHILDHOOD, COMPLETE THE STATEMENT WRITTEN BELOW AND SUBMIT AS PART OF THE PROPOSAL.

IF A CURRENT AFFIRMATIVE ACTION PLAN IS NOT ON FILE, COMPLETE THE AFFIRMATIVE ACTION PACKET AND SUBMIT AS PART OF THE PROPOSAL.

## **CERTIFICATION THAT A CURRENT** AFFIRMATIVE ACTION PLAN IS ON FILE

I, the undersigned authorized official, hereby certify that the current Affirmative Action Plan of the applying organization/agency is on file with the Connecticut Office of Early Childhood. The Affirmative Action Plan is, by reference, part of this application.

12-19-14 Date Signature of Authorized Official

LAURENCE FEARON
Print Name of Authorized Official

## APPENDIX C: Statement of Assurances

### STATEMENT OF ASSURANCES

## CONNECTICUT OFFICE OF EARLY CHILDHOOD STANDARD STATEMENT OF ASSURANCES GRANT PROGRAMS

PROJECT TITLE:	Columbia Pleachool Expansion		
	Project		
THE APPLICANT:	Mary Kate Partey HEREBY ASSURES THAT:		
	Colombiact School District		
	Ginsert Agency/School/CBO Name)		

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- **B.** The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- **D.** The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the Connecticut Office of Early Childhood;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the Connecticut Office of Early Childhood, including information relating to the project records and access thereto as the Connecticut Office of Early Childhood may find necessary;
- H. The Connecticut Office of Early Childhood reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;

- If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the Connecticut Office of Early Childhood from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for the grant;
- K. At the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the Connecticut Office of Early Childhood any moneys not expended in accordance with the approved program/operation budget as determined by the audit;

### L. REQUIRED LANGUAGE (NON-DISCRIMINATION)

References in this section to "contract" shall mean this grant agreement and to "contractor" shall mean the Grantee.

- (a) For purposes of this Section, the following terms are defined as follows:
  - i. "Commission" means the Commission on Human Rights and Opportunities;
  - ii. "Contract" and "contract" include any extension or modification of the Contract or contract;
  - iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
  - iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose;
  - v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
  - vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
  - vii. "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;
  - viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
  - ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who

- have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with jobrelated qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68c and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and

Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to

pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.

- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- M. The grant award is subject to approval of the Connecticut Office of Early Childhood and availability of state or federal funds.
- N. The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

I, the undersigned authorized official; hereby certify that these assurances shall be fully implemented.

\*

Superintendent Signature:	Laurendeen
Name: (typed)	Laurence Fearon
Title: (typed)	Superintendent
Date:	12-19-14

## **APPENDIX D: Unmet Need**

The following communities have been identified by the Office of Early Childhood as having an unmet need for high-quality preschool in their communty:

Ansonia	Easton	New Canaan	Southbury
Ashford	Ellington	New Fairfield	Southington
Avon	Enfield	New Hartford	Stafford
Barkhamsted	Farifield	New London	Stamford
Beacon Falls	Farmington	New Milford	Sterling
Berlin	Glastonbury	Newington	Stonington
Bethel	Granby	Newtown	Stratford
Branford	Greenwich	North Branford	Suffield
Bridgeport	Griswold (and Jewett	North Haven	Thompson
Bristol	City)	North Stonington	Tolland
Brookfield	Groton	Norwich (Taftville)	Torrington
Brooklyn	Guilford	Old Saybrook	Trumbull
Burlington	Haddam	Orange	Vernon (and Rockville)
Canton	Hamden	Oxford	Wallingford
Cheshire	Kent	Plainfield	Waterbury
Chester	Killingly	Plainville	Waterford
Clinton	Killingworth	Plymouth	Watertown
Colchester	Lebanon	Pomfret	West Hartford
Coventry	Ledyard	Portland	West Haven
Cromwell	Lyme	Preston	Westport
Danbury	Madison	Prospect	Wethersfield
Darien	Manchester	Putnam	Willington
Deep River	Marlborough	Redding	Wilton
Derby	Meriden	Ridgfield	Winchester (and
Durham	Middlebury	Rocky Hill	Winsted)
East Granby	Middlefield	Salem	Windham
East Haddam	Middletown	Seymour	Windsor
East Hampton	Milford	Sharon	Windsor Locks
East Hartford	Monroe	Shelton	Wolcott
East Haven	Montville	Simsbury	Woodbury
East Lyme	Naugatuck	Somers	Woodstock
East Windsor	New Britain	South Windsor	

If your community is not listed above, you may apply for Smart Start funding by providing detailed evidence of unmet need in your community. Include specific data as well as the methodology used by the community to determine unmet need for preschool.

## **APPENDIX E: Approved Sliding Fee Scale**

Use the following link to access the OEC Administered State-Funded Progam General Policy. Programs that choose to charge a parent fee must use the slding fee scale that has been approved for use by School Readiness programs and Child Day Care contractors.

http://www.ct.gov/oec/lib/oec/earlycare/smart\_start\_fee\_shedule.pdf